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**IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF UTAH, CENTRAL DIVISION**

ANTHONY THOMPSON and OTC
SOLUTIONS, LLC,

Plaintiff,

v.

DAVID REES, CHASE CHANDLER, and
VINCENT & REES

Defendants.

Case No. 2:16-cv-00074-DAK

The Honorable Judge Dale A.
Kimball

**DEFENDANTS' APPENDIX RE: MOTION FOR
SUMMARY JUDGMENT**

	Document	Date	Docket Number	Apx. Page Number
1	SEC Complaint, <i>SEC v. Recycle Tech et al.</i> , Civ. No. 12-cv-21656-JAL	September 4, 2012	SEC ¹ 1	1
2	Second SEC Complaint, <i>SEC v. Anthony J. Thompson Jr. et al.</i> , Civil No. 14-cv-09126	November 11, 2014	Second SEC ² 1	40
3	New York County Indictment No. 03583/2014, Anthony Thompson Indictment	December 30, 2014	N/A	70
4	Deposition Transcript of Anthony Thompson, 2011	February 10, 2011	SEC 151-53	128
5	Newsletter	December 10, 2013	SEC 151-62	136
6	Newsletter	December 10, 2013	SEC 151-63	139
7	Newsletter	December 10, 2013	SEC 151-64	142
8	Newsletter	December 10, 2013	SEC 151-65	145
9	Newsletter	December 10, 2013	SEC 151-66	148
10	Newsletter	December 10, 2013	SEC 151-67	151
11	Newsletter	December 10, 2013	SEC 151-71	154
12	Newsletter	December 10, 2013	SEC 151-72	158
13	Newsletter	December 10, 2013	SEC 151-73	163
14	Newsletter	December 10, 2013	SEC 151-74	168
15	Newsletter	December 10, 2013	SEC 151-75	172

¹ SEC Docket Numbers refer to documents filed in *SEC v. Recycle Tech et al.*, Civ. No. 12-cv-21656-JAL.

² Second SEC Docket Numbers refer to documents filed in *SEC v. Anthony J. Thompson, Jr. et al.*, Civil No. 14-cv-09126.

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16	Newsletter	December 10, 2013	SEC 151-76	177
17	Newsletter	December 10, 2013	SEC 151-77	181
18	Defendant OTC Solutions, LLC's Answers to Plaintiff's Second Set of Interrogatories	December 10, 2013	SEC 151-37	185
19	Email Correspondence between A. Thompson & A. Nguyen	December 10, 2013	SEC 151-79	196
20	OTC Solutions Statement of Account	December 10, 2013	SEC 151-70	198
21	Deposition of A. Thompson, Vol. I (Oct. 15, 2013)	December 10, 2013	SEC 151-34	205
22	Final Judgment as to Defendant David Rees	May 4, 2012	SEC 9	218
23	Defendants Anthony Thompson's & OTC Solutions' Motion to Dismiss Plaintiff's Amended Complaint with Supporting Memorandum of Law	September 4, 2012	SEC 55	223
24	Order Adopting Joint Scheduling Report, Setting Pretrial Conference & Trial, Establishing Pretrial Deadlines, and Establishing Pretrial & Trial Procedures	January 15, 2013	SEC 97	241
25	Defendants Anthony Thompson's & Jay Fung's Unopposed Motion to Extend Time	August 23, 2013	SEC 113	251
26	Order Granting in Part and Denying in Part Defendants' Motions to Dismiss	October 11, 2013	SEC 116	255

	Document	Date	Docket Number	Apx. Page Number
27	Fung, Pudong LLC Motion for Extension of Time	September 30, 2013	SEC 118	280
28	Thompson & OTC Solutions Motion to Join Motion for Extension of Time	September 30, 2013	SEC 119	285
29	Order Granting Motion for Extension of Time	September 30, 2013	SEC 120	288
30	SEC Motion for Summary Judgment	December 9, 2013	SEC 150	290
31	OTC Solutions Motion for Summary Judgment	December 9, 2013	SEC 147	318
32	Thompson Motion for Summary Judgment	December 9, 2013	SEC 148	331
33	Plaintiff's Unopposed Motion for a 60-Day Stay	January 13, 2014	SEC 159	362
34	Motion for Entry of Consent Judgments, SEC Recycle Tech Action	February 12, 2014	SEC 167-3	364
35	Order Granting in Part and Denying in Part Defendants' Motions to Dismiss	December 26, 2013	SEC 116	367

	Document	Date	Court Docket Number	Apx. Page Number
36	Final Judgment of Permanent Injunction and Other Relief as to Defendant Anthony Thompson	February 14, 2014	SEC 171	392
37	Final Judgment of Permanent Injunction and Other Relief as to Defendant OTC Solutions LLC	February 14, 2014	SEC 167-1	400
38	<i>People v. Thompson</i> , Decision & Order	May 17, 2016	N/A	407
39	Defendants' First Requests for Production of Documents	November 30, 2016	N/A	458
40	Plaintiffs' Rule 26 Initial Disclosures	June 6, 2016	N/A	463
41	Letters to Judge Katherine B. Forrest Regarding Stay of Second SEC Action	May 31, 2017, June 1, 2017	Second SEC 66, 67	467
42	SEC Amended Complaint, <i>SEC v. Recycle Tech et al.</i> , Civ. No. 12-cv-21656-JAL	August 17, 2012	SEC 46	469
43	Consent of Defendant Anthony Thompson to Final Judgment of Permanent Injunction and Other Relief	February 12, 2014	SEC 167-3	499
44	Consent of Defendant David Rees to Entry of Final Judgment	May 3, 2012	SEC 6-1	507

DATED this 8th day of August, 2017.

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/s/ Milo Steven Marsden

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